

DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

Title of Invention SURFACE TREATED PARTICULATED POLYMERIC MATRIX FOR FORMING AN EXPOSED SURFACE OF A WEATHERSEAL

As the below named inventor (s), I/we declare that:

This declaration is directed to:

- ☒ The attached application, or
☐ Application No. _____ filed on _____
☐ as amended on _____ (if applicable);

I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application.

All statements made herein of my/own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application of any patent issuing thereon.

FULL NAME OF INVENTOR (S)

Inventor one: Marion A. Royse

Signature: Marion A. Royse Citizen of: USA

Inventor two: _____

Signature: _____ Citizen of: _____

Inventor three: _____

Signature: _____ Citizen of: _____

Inventor four: _____

Signature: _____ Citizen of: _____

☐ Additional inventors or legal representative are being named on _____ additional form(s) attached hereto.

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting this completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

POWER OF ATTORNEY and CORRESPONDENCE ADDRESS INDICATION FORM	Application Number	
	Filing Date	
	First Named Inventor	Royse, Marion A.
	Title	SURFACE TREATED PARTICULATED POLYMERIC MATRIX FOR FORMING AN EXPOSED SURFACE OF A WEATHERSEAL
	Art Unit	
	Examiner Name	
	Attorney Docket Number	85939.000261

I hereby appoint:

☒ Practitioners at Customer Number 23387

OR

☐ Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

☐ The above-mentioned Customer Number.

OR

☒ The address associated with Customer Number: 23387

OR

<input type="checkbox"/> Firm or Individual Name				
Address				
Address				
City	State	Zip		
Country				
Telephone	Fax			

I am the:

☒ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).
SIGNATURE of Applicant or Assignee of Record

Name	Marion A. Royse, Intellectual Property Manager		
Signature	<i>Marion A. Royse</i>		
Date	12 DECEMBER 2003	Telephone:	865-981-7318

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☐ *Total of _____ forms are submitted.

The collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Schlegel Corporation

Application No./Patent No.: _____ Filed/Issue Date: _____

Entitled: SURFACE TREATED PARTICULATED POLYMERIC MATRIX FOR FORMING AN EXPOSED SURFACE OF A WEATHERSEALSchlegel Corporation, a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____ %
in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

2. From _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplement sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.
[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to the Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

12 DECEMBER 2003

Date

865-981-7318

Telephone number

Marion A. Royse

Typed or printed name

Marion A. Royse

Signature

Intellectual Property Manager

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 37 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Assignee: Schlegel Corporation

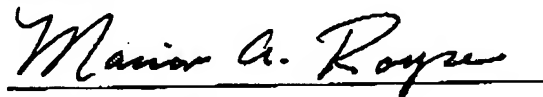
SIGNATURE TO ACT ON BEHALF OF ASSIGNEE UNDER 37 CFR 3.73(b)(2)(i)

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Sir:

The undersigned (whose title is supplied below) is empowered to sign the Power of Attorney on behalf of the assignee owner, Schlegel Corporation, 111 Eighth Avenue, New York, New York, 10011.

Respectfully submitted,

A handwritten signature in cursive script, reading "Marion A. Royse", is written over a horizontal line.

Marion A. Royse
Intellectual Property Manager

Date: 12 DECEMBER, 2003

ASSIGNMENT

FOR GOOD AND VALUABLE CONSIDERATION, I, Marion A. Royse, residing at 2714 Muscadine Drive, Maryville, TN 37803; HEREBY ASSIGN all right, title, and interest worldwide in and to the invention described in the U.S. patent application entitled SURFACE TREATED PARTICULATED POLYMERIC MATRIX FOR FORMING AN EXPOSED SURFACE OF A WEATHERSEAL, and in and to said U.S. patent application and all applications that may be filed on said invention anywhere in the world, including any and all divisions, reissues, continuations and extensions thereof and in and to any Letters Patent, Inventors' Certificates, Design Registrations, Industrial Models, Utility Models and all other forms of protection that may be granted thereon, including the right to file applications and the right to claim priority from the U.S. application worldwide to Schlegel Corporation, doing business at 111 Eighth Avenue, New York, New York, 10011.

I request that all Letters Patent, Inventors' Certificates, Design Registrations, Industrial Models, Utility Models and all other forms of protection on said invention be issued to the Assignee, and I agree to cooperate fully in obtaining and enforcing patent protection for said invention, including communicating any facts relating to said invention, signing lawful papers, and at the request and expense of the Assignee, testifying in legal proceedings.

I hereby authorize and request my attorney of record, Brian B. Shaw, Esq. of 1600 Bausch & Lomb Place, Rochester, New York, 14604-2711, to insert here in parentheses (Application No. _____, filed _____) the filing date and application number of said application, when known.

Dated: 12 DECEMBER 2003

Marion A. Royse
Marion A. Royse